

Docket No. 295110US0PCT/ims



IFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Tsuneki WAKITA, et al.

SERIAL NO: 10/589,501

GAU:

FILED: August 15, 2006

EXAMINER:

FOR: MODIFIER FOR RESIN AND RESIN COMPOSITION USING THE SAME AND FORMED ARTICLE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references cited in the attached International Search Report and Written Opinion and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

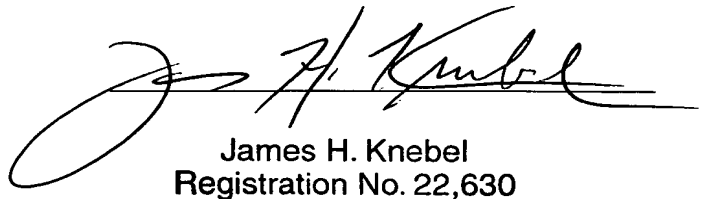
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
295110US0PCTSERIAL NO.  
10/589,501

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Tsuneki WAKITA, et al.FILING DATE  
August 15, 2006

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,017,631	05/21/1991	Hubert RAUCH, et al.			
	AB	2003/0018132 A1	01/23/2003	Hideyuki USUI, et al.			
	AC	2001/0016612 A1	08/23/2001	Toshihiro KASAI			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AL	64-1731	01/06/1989	JAPAN (corr. US 5,017,631 and with corr. CA 1 324 453, DE 37 19 241 A1, EP 0 294 663 A2)		X
	AM	1 324 453	11/16/1993	CANADA		
	AN	37 19 241 A1	12/15/1988	GERMANY		X
	AO	0 294 663 A2	12/14/1988	EUROPE		X
	AP	11-35873	02/09/1999	JAPAN		X
	AQ	62-22825	01/31/1987	JAPAN		X
	AR	2000-7890	01/11/2000	JAPAN (corr. US 2003/0018132 A1)		X
	AS	WO 00/01748	01/13/2000	WIPO (with English Abstract, corr. US 2001/0016612 A1, with corr. EP 1 162 217 A1, and JP 2002-226596)		X
	AT	1 162 217 A1	12/12/2001	EUROPE		
	AU	2002-226596	08/14/2002	JAPAN		X
	AV	WO 01/88021 A1	11/22/2001	WIPO (with English Abstract corr. CN 1429244 copy not available, and corr. JP 2001-329067)		X
	AW	2001-329067	11/27/2001	JAPAN		X
	AX	2003-2978	01/08/2003	JAPAN		X
	AY	2004-231916	08/19/2004	JAPAN		X

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AZ		<input type="checkbox"/> Additional References sheet(s) attached
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Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.